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NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

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Date of mailing (day/month/year) 13 November 2000 (13.11.00)	
International application No. PCT/AU00/00285	Applicant's or agent's file reference P54C/PCT/JRW/MA
International filing date (day/month/year) 31 March 2000 (31.03.00)	Priority date (day/month/year) 31 March 1999 (31.03.99)
Applicant CHARLTON, Paul et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:
 30 October 2000 (30.10.00)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

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PATENT COOPERATION TREATY
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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)


REC'D 19 APR 2001

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Applicant's or agent's file reference P54C/PCT	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416).
International Application No. PCT/AU00/00285	International Filing Date (<i>day/month/year</i>) 31 March 2000	Priority Date (<i>day/month/year</i>) 31 March 1999
International Patent Classification (IPC) or national classification and IPC Int. Cl. ⁷ E21D 20/02, 21/00		
Applicant HYDRAMATIC ENGINEERING PTY LIMITED et al		

1.	This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2.	This REPORT consists of a total of 3 sheets, including this cover sheet. <input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT). These annexes consist of a total of sheet(s).
3.	This report contains indications relating to the following items: I <input checked="" type="checkbox"/> Basis of the report II <input type="checkbox"/> Priority III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability IV <input type="checkbox"/> Lack of unity of invention V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement VI <input type="checkbox"/> Certain documents cited VII <input type="checkbox"/> Certain defects in the international application VIII <input type="checkbox"/> Certain observations on the international application

Date of submission of the demand 30 October 2000	Date of completion of the report 4 April 2001
Name and mailing address of the IPEA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929	Authorized Officer DAVID LEE  Telephone No. (02) 6283 2107

I. Basis of the report1. With regard to the **elements** of the international application:*

- ☒ the international application as originally filed.
- ☐ the description, pages , as originally filed,
 pages , filed with the demand,
 pages , received on with the letter of
- ☐ the claims, pages , as originally filed,
 pages , as amended (together with any statement) under Article 19,
 pages , filed with the demand,
 pages , received on with the letter of
- ☐ the drawings, pages , as originally filed,
 pages , filed with the demand,
 pages , received on with the letter of
- ☐ the sequence listing part of the description:
 pages , as originally filed
 pages , filed with the demand
 pages , received on with the letter of

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, was on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages
- ☐ the claims, Nos.
- ☐ the drawings, sheets/fig.

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

** Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**1. Statement**

Novelty (N)	Claims	YES
	Claims 1-29	NO
Inventive step (IS)	Claims	YES
	Claims 1-29	NO
Industrial applicability (IA)	Claims 1-29	YES
	Claims	NO

2. Citations and explanations (Rule 70.7)

US 4055051, US 5044832, WO 9201141, GB 2206172 EP 247778, US 4946314, DE 2436159, DE 29814460, DE 3905128, EP 532441, SU 1221375, DE 3529850

Novelty & Inventive step - Claims 1- 29

The citations disclose the features of the independent claims, individually. The drill head for hollow rock bolts, self-drilling hollow rock bolts, injecting into hollow rock bolts and methods of insertion/grouting are all disclosed individually in the citations.

Hence, claims 1-29 are not novel and lack an inventive step..

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METHOD AND APPARATUS FOR INSERTION OF ROCK BOLTS

BACKGROUND

The present invention relates to insertion of rock bolts used in applications such as but not limited to underground mining. More particularly, the invention relates to an automatic drilling and injection head and a method of use thereof for automatic installation of self drilling rock bolts thereafter secured by preselected anchoring compounds.

Rock bolts are commonly used as anchors for securing rock strata to prevent unwanted collapse during mining operations such as excavation and the like. They are a proven means of securing rock masses in underground excavations and road tunnelling which might otherwise be in danger of collapse. Efficient installation of rock bolts is a critical facet of mining operations and it is desirable that this non productive part of mining activities be done as speedily as possible.

The concept of rock bolting involves the installation of a bolt in a predrilled hole in rock whose length is longer and whose diameter is slightly larger than the diameter of the rock bolt inserted therein.

PRIOR ART

There are in existence a wide variety of rock bolts and drilling machines for insertion of said bolts. The drilling machines employ drill rods with a cutting tip or drill bit on the leading end of the drill rod. The drill bit is usually larger in diameter than the drill rod to ensure a hole diameter at least slightly larger than the diameter of the drill rod. In order to drill a hole, the drill rod is rotated and urged against the rock face to initiate penetration.

In accordance with conventional methodology, most drill rods use air or water as a flushing fluid to flush rock cuttings from the drill hole during drilling. The flushing fluid is normally pumped through a central hole in the drill rod exiting the cutting tip then flowing out of the drill

hole between the sides of the drill rod and the rock bolt hole thereby removing the rock cuttings from the hole during the drilling operation. The hole flushing clears the hole to accommodate the anchoring compound which is normally inserted manually after drilling. Whilst all drills employ rotation and axial thrust some additionally use impact drilling for very hard rock. Drilling into softer rocks such as sandstone will generally only require rotation and thrust. Hole drilling speed is a function of the type of rock, drill bit material and configuration and axial force applied during drilling. After drilling is complete, rock bolts are inserted once the drill rod has been withdrawn. There are two broad categories of rock bolts which are differentiated by the manner of engagement between bolt and rock. Bolts in the first category rely on frictional engagement between the bolt and at least a portion of the wall of the pre-drilled bolt hole. Bolts in the second category rely for their anchorage on grouting between the rock wall and bolt. The grouting compound will either be chemical or cement based.

Friction bolts rely on expansion of an associated member such as a sleeve which is engaged for example by rotation of a nut after insertion of the bolt in its hole, whereupon the sleeve engages the wall tightly to resist unwanted withdrawal. Bolts of this type include expansion shell bolts, inflating bolts and split set bolts.

Grouted rock bolts rely entirely on the integrity of the grouting compound which is inserted into the bolt hole once the hole is drilled. Maximum engaging strength is not achieved until the grout has set and has formed a solid anchor around the rock. A typical grouting compound is polyester resin although other compounds are used.

The coal industry commonly uses grouted rock bolts using resin anchors. The resin is held in cartridges which are inserted into predrilled bolt holes prior to insertion of the bolt. The resin

cartridges are filled with resin mastic and resin catalyst and are typically 500 mm to 1500 mm in length. They must be of a diameter smaller than the bolt hole to enable insertion.

According to conventional methodology the steps involved in insertion of a resin anchored rock bolt are as follows:

- a) drilling a hole of a diameter which is larger than the diameter of a bolt to be inserted;
- b) withdrawing the drill rod from the hole;
- c) manually inserting the resin cartridge;
- d) inserting the bolt into the hole such that the bolt engages the resin cartridge and urges it to the back of the hole;
- e) rotating and advancing the rock bolt such that the bolt penetrates the cartridge causing mixing of the cartridge contents (mastic and catalyst);
- f) urging the bolt to full penetration whilst continuing rotation of the bolt;
- g) allowing resin to cure and harden; and
- h) tightening a nut on the proximal exposed end of the bolt to securely engage said bolt.

Whilst the above described method, used in various forms over the past twenty years, results in effective anchorage of rock bolts in underground mining applications, the process described is labour intensive, time consuming and therefore costly and is susceptible to poor quality control.

There has been a long felt want in the mining industry for more efficient installation of bolts of the type which rely on grouting for anchorage, to reduce the time and labour component disadvantages of the present methodology.

Attempts have been made to address the aforesaid problems in resin anchored bolt installations by using self drilling rock bolts of the type described in patent application PCT/AU91/00503.

One such attempt involved the use of self drilling hollow rock bolts to obviate the step of

pre-drilling followed by withdrawal of the drill prior to insertion of the bolt. The self drilling bit functions as a combined drill and bolt. During self drilling, the hole is flushed with water to expel rock debris until the bolt has reached maximum depth whereupon the fluid is turned off. A grouting compound is then inserted into the space between the bolt and hole wall until the available space is occupied by the anchoring compound which could be resin or cement grout. Cement grout has major advantages over resin in that it can be mixed in a machine then pumped into the rock bolt hole. As the curing time for the cement grout is typically several hours, it is procedurally simple to mix and pump cement grout and flush hoses and the machine before curing. The major disadvantage of cement grouting is that the cement takes several hours to cure which is unacceptable in the coal industry. Resin anchored bolts have the advantage that the resin cures in about 20 seconds but this fast setting may cause problems of clogging in the resin delivery and mixing system once the mastic and catalyst, which are initially separated, have thoroughly mixed.

INVENTION

The present invention seeks to ameliorate the aforesaid prior art disadvantages by providing a method and associated apparatus for installing and anchoring self drilling rock bolts using an improved resin delivery and mixing system. The present invention also seeks to provide a system for installation of self drilling bolts, quickly and efficiently without clogging of the resin and delivery system. According to one embodiment of the invention there is provided a drilling head for attachment to a drilling machine wherein the drilling head has means for rotation thrust and feed of a rock bolt, means for water injection and an apparatus for resin injection.

More particularly, according to one embodiment, the invention comprises a drill head adapted for releasable attachment to a drilling apparatus, wherein the drill head includes means to receive a

proximal end of a self drilling rock bolt having an internal cavity and further comprising means to enable injection through said internal cavity of resin grout such as a mastic and a catalyst; wherein said mastic and said catalyst whilst present in said drill head are separated until co injection into said internal cavity of said bolt, whereupon mixing of said mastic and catalyst occurs to form a resin in which said bolt anchors; wherein the resin is injected into said cavity until it exits an opening in a distal end of said bolt and fills a space between the exterior of said bolt and the wall of said hole.

It is one object of the invention to provide a drill head for adaption to a drilling machine which allows the normal actions of rotation, axial thrust, water or air injection as well as injection through a rock bolt of a grouting compound. It is a further object to provide an improved injection system for flushing rock cuttings during drilling.

In one broad form the present invention comprises a drill head capable of attachment to a drilling machine for insertion of rock bolts into rock strata; the drill head comprising; a base block including means to facilitate attachment to said drilling machine; means for driving a spindle having a member at one end which receives a proximal end of a rock bolt including an internal cavity; an injection assembly including at least one internal passage for retaining a grouting compound, wherein, when said rock bolt is inserted in said member and when said injection member engages said member, said at least one passage is in communication with said internal cavity in said rock bolt; whereupon said injection assembly operates to inject a predetermined quantity of said grouting compound into a bolt hole in said rock strata via said internal cavity of said bolt. Preferably the spindle is rotatable and said member which engages said proximal end of said rock bolt comprises a chuck. Upon rotation of said spindle said rock bolt also rotates. The drill head further comprising passages through which a flushing

fluid flows and which are in fluid communication with said cavity in said rock bolt.

The the injection assembly according to one embodiment does not rotate but is capable of axial extension and retraction independant of said spindle. The injection nozzle functions as a shut off valve to isolate said flushing fluid from said cavity and includes two concentric passages each of which contains a separate grouting material, wherein said passages are disposed so as to keep the grouting materials separate until said materials exit said passages and enter the internal cavity in the rock bolt whereupon they mix to form a grouting resin.

The grouting resin exits the internal cavity of the bolt via a distal end of said bolt and fills a space between an outer surface of the bolt and a wall of a hole in which the bolt is placed.

The member for receiving said proximal end of said rock bolt includes a recess which includes a profile which conforms to and engages with a corresponding profile on said proximal end of said bolt to enable mutual rotation of said member with said rock bolt. The profile of said recess is hexagonal and receives a corresponding hexagonal external profile formed in said proximal end of said rock bolt. The rock bolt is self drilling.

The injection assembly is capable of movement between a retracted state in which water is capable of entering said cavity of said rock bolt during drilling and an extended state when drilling has ceased in which an end of said injection assembly engages an opening to said cavity thereby allowing the passage of a grouting material held in said injection assembly into said bolt hole via said cavity in said bolt. Preferably, the grouting materials include polyester resins, PVA and epoxy resins.

According to a further apparatus aspect, the present invention comprises;

a drill head capable of attachment to a drilling machine for insertion of rock bolts into rock strata;
the drill head comprising;

a base block including means to facilitate said attachment to said drilling machine;

drive means for driving a spindle having a member at one end which engages a proximal end of a rock bolt including an internal cavity;

an injection assembly including at least one internal passage for retaining a grouting compound,

wherein, when said rock bolt is inserted in said member said at least one passage is in

communication with said internal cavity in said rock bolt; whereupon said injection assembly

operates to inject a predetermined quantity of said grouting compound into said internal cavity of

said bolt. Preferably, the member in which the distal end of the rock bolt is placed is a female

socket having a hexagonal internal profile which corresponds with a corresponding external

hexagonal profile on said rock bolt.

Preferably, the drill head further comprises means enabling injection through passages therein of water into the bolt hole to evacuate rock debris from the hole during drilling.

According to a preferred embodiment, the injection assembly includes two concentric passages each of which contains a separate grouting material, wherein said passages are disposed so as to keep the grouting materials separate until the materials exit said passages and enter the internal cavity in said rock bolt whereupon they mix to complete the grouting resin.

Preferably, the grout is injected until it exits the internal cavity of the bolt via a distal end and fills a space between an outer surface of the bolt and the wall of the hole in which the bolt is placed.

In another broad form of the apparatus aspect, the present invention comprises;

a drill head capable of attachment to a drilling machine for insertion of rock bolts into rock strata;

the drill head comprising;

a base block including means to facilitate said attachment to said drilling machine;

drive means for driving a spindle having a member at one end which engages a proximal end of

a rock bolt which includes an internal cavity;
an injection assembly including at least one internal passage for retaining a grouting compound, wherein, when said rock bolt is inserted in said member, said at least one passage is in communication with the internal cavity in said rock bolt; whereupon said injection assembly operates to inject a predetermined quantity of said grouting compound into said internal cavity of said bolt; wherein the drill head further comprises means enabling injection through passages therein of water into the bolt hole to evacuate rock debris from the hole; and wherein the injection assembly has two concentric passages each of which contains a separate grouting compound, wherein said passages are disposed so as to keep the grouting compounds separate until the compounds exit said passages and enter the internal cavity in said rock bolt whereupon they mix on contact to form a grouting resin.

Preferably, the grout exits the internal cavity of the bolt via a distal end and is injected until it fills a space between an outer surface of the bolt and the wall of the hole in which the bolt is placed.

In another broad form the present invention comprises:

a drill head capable of attachment to a drilling machine for insertion of a rock bolt into rock strata; the drill head including;

a sprocket for receiving an end of said rock bolt which includes an internal cavity,

an injection assembly including a passage for retaining a grouting compound and which when said bolt engages said sprocket is placed in communication with said internal cavity of said bolt;

wherein the injection assembly injects said grouting compound into the internal cavity of said bolt at least until said compound exits said internal cavity of said bolt and engages a wall of a hole in which said bolt is inserted.

Preferably, the grouting compound is injected until it fills the space between the exterior of the bolt and the wall of the hole in which the bolt is inserted.

In the broadest form of the apparatus aspect the present invention comprises;

a drill head having an injection assembly adapted to enable injection of a grouting compound from a passage in the drill head and into a bolt hole via an internal cavity in a rock bolt which communicates with said passage when an end of said bolt engages said drill head such that said passage and said internal cavity are substantially in alignment.

In another broad form of the apparatus aspect the present invention comprises;

a self drilling rock bolt for insertion in a rock strata and adapted to engage a drilling head capable of attachment to a drilling machine; wherein the rock bolt comprises; a proximal end and a distal end and an internal cavity therebetween: the proximal end having a mating profile which engages a corresponding profiled member on said drilling machine enabling mutual rotation of said bolt and said member, the distal end including an opening to said cavity for receiving a grouting material delivered to said bolt from said head. Preferably, when said bolt engages said head, said cavity in said bolt is in general alignment with a cavity in said head containing said grouting material. According to a preferred embodiment, an opening in said proximal end of said bolt receives at least one grouting material which advances along said cavity until it exits said bolt via said at least one opening in said distal end. Preferably, two grouting materials are employed but it will be appreciated that more than two grouting materials could be mixed in the cavity prior to exiting via said at least one opening in said distal end.

In one broad form of the method aspect the present invention comprises;

a method of insertion of a self drilling rock bolt in a rock strata using a drilling machine to which is attached a drill head; wherein the method comprises the steps of ;

- a) placing said drilling machine in alignment with a location at which a bolt is to be inserted;
- b) taking a rock bolt having an internal cavity;
- c) engaging an end of the rock bolt with a support member on said drill head;
- d) advancing the drill head such that a distal end of the bolt engages rock into which the bolt is to be inserted;
- e) rotating the bolt under the action of drive means associated with said head and maintaining an axial force such that the bolt self drills a hole in said rock strata;
- f) during drilling, flushing the hole with a fluid such as water to remove unwanted rock cuttings;
- g) injecting a grouting compound from at least one passage in an injection assembly into the cavity in said bolt;
- h) continuing said injection of said compound at least until said compound exits the cavity in said bolt via openings in said bolt and enters an opening in the bolt hole between the wall of said hole and the exterior of said bolt.

According to a preferred embodiment, the method comprises the further step of, prior to injecting the compound into the cavity in said bolt, advancing an injection nozzle into sealing engagement with a proximal end of said bolt thereby allowing direct communication between the passage in said head and the cavity in said bolt

In another broad form of the method aspect the present invention comprises, a method of grouting a rock bolt inserted in a rock strata using a drilling machine incorporating a drilling head; wherein the method of grouting comprises the steps of;

- a) after a rock bolt has been placed in situ, advancing an injecting nozzle of an injection assembly incorporated in said head until it reaches sealing engagement with a proximal

end of the rockbolt;

- b) injecting a grouting compound from a passage in said injection assembly into an internal cavity in said bolt;
- c) continuing said injection until the grouting compound exits said internal cavity of said bolt and fully occupies a space formed between the exterior of said bolt and the wall of said hole;
- d) retracting said nozzle away from sealing engagement with said bolt.

DETAILED DESCRIPTION

The present invention will now be described in more detail according to a preferred but non limiting embodiment and with reference to the accompanying illustrations wherein;

- Figures 1(a)-(f): show various steps for insertion of a rock bolt according to the prior art methodology;
- Figure 2 : shows an isometric exploded view of a rock bolting installation assembly with a drill head according to one embodiment of the invention.
- Figure 3: shows an enlarged cut away view of a drill head according to a preferred embodiment of an apparatus aspect of the invention.
- Figure 4: shows an enlarged cross sectional view of the drill head of figure 3 adapted internally in the drilling configuration;
- Figure 5 shows the drill head of figure 3 adapted internally in the grouting configuration;
- Figure 6: shows the drill head of figure 3 adapted internally for water and injection shut off.
- Figure 7: shows a cross section of a bolt when fully installed.

Drilling machines employ a variety of different systems to apply rotation and thrust to drill rods and usually have some form of drive chuck which can either be a screw thread, a square drive or hexagonal drive chuck which fits a matching screw, square or hexagonal section

of the drill rod. In long hole drilling, where multiple drill rods are used it is necessary to attach several drill rods together and pass them through the drilling head on the drilling machine. In this application an offset drive head may be used where the drive head is hollow and is offset from the main drilling motor itself. The offset drive head is normally driven by a gear system or by a chain from the drill motor. A flushing system is employed to remove rock cuttings from the drilling operation at the drill tip. This can be achieved by pumping water or air through the drill rods and getting the water or air to flow back down the hole along the outside of the drill rods. For this purpose water or air may be used or a combination of both forming a spray mist to remove cuttings.

Referring to figures 1(a-f) there is shown a drilling rig configured for the various stages of operation of installation of a rock bolt according to a prior art method. Figure 1a shows drilling rig 1 engaging rock face 2 via plate 3. The rig comprises head 4 which includes a drill chuck 5 which receives steel drill 6. While drilling takes place, water is injected into the drill hole to evacuate unwanted rock cuttings. Figure 1b shows the drill assembly of figure 1a wherein the drill steel has reached the limit of its travel and the bolt hole fully drilled through rock stratum 2a. At this point the water has been cut off and the drilling halted. Figure 1c shows the drill steel fully removed and chemical sausage 7 prior to its installation in bolt hole 8. Once the chemical sausage has been inserted, a mixing dolly is installed as shown in figure 1d on chuck 5 of drilling rig 1 following which it is advanced to and urged into hole 8 as shown in figure 1e while it is rotated for a predetermined time in order to mix the chemical in sausage 7. Once bolt 9 is fully inserted, rotation is halted whereupon it is left for enough time to allow the resin to set. Figure 1e shows the drilling machine with head 4 advanced to its extremity facilitating insertion of bolt 9 in rock stratum 2a. As shown in figure 1f, the drilling rig is then retracted leaving rock bolt 9 fully

inserted. The above described prior art method of rock bolt installation is labour intensive and time consuming. On occasions, insertion of the chemical sausage can lead to delay as bunching might occur at the hole opening and there is also a delay in curing of the resin. This requires a delay in removing the drilling head as this functions to keep the bolt in position during curing. Figure 2 shows an exploded isometric view of a known roof bolter/drilling rig 10 including a drill head 11 according to a preferred embodiment of the invention. Drill head 11 may be connected to roof bolter 10 via engaging plates 13 by standard connection means. Thus, head 11 may be easily adapted to existing drilling equipment.

Figure 3 shows an enlarged partially cut away view of a drill head 20 according to one embodiment of the apparatus aspect of the invention. Drill head 20 comprises housing block 21 including a gear box 22 which is connected to a drive motor incorporated in a drilling rig such as that shown in figure 2.

Gear box 22 further comprises drive assembly 22a and hydraulic motor 22b.

The head further comprises an offset drive spindle 23 including an integral drive chuck 24 which rotates responsive to operation of drive gears contained in gear box 22. Drive chuck 24 may be driven through either a gear system contained in gear box 22 or chain drive system (not shown).

Housing block 21 includes a network of passageways 25 which deliver drilling fluid from a reservoir passage 26 then to a self drilling bolt 30 seated in recess opening 24a in chuck 24.

Housing block 21 further includes passageways 27 and 28 which receive and deliver constituents of a grouting compound 29 (see fig 5) which is to be injected into passage 34 of rock bolt 30.

Passageways 27 and 28 receive separate unmixed constituents of grouting compound 29 via feed ports 27a and 28.

Injection into bolt 30 is facilitated by means of an injection nozzle 31 which is capable of

advancing axially in the direction of rock bolt 30 and retracting away from bolt 30 depending upon which stage of operation the drill head 20 is engaged in. Injection nozzle 31 may comprise one only or multiple passages which receive constituents of the grouting compound. In the case of multiple constituents it is preferable that these are isolated until such time as the constituents exit the nozzle and enter the internal passage 34 of bolt 30 whereupon the constituents will mix. The constituents mix and react to eventually set and when the mix exits passage 34 it fills the available space between the exterior surface of rock bolt 30 and the wall of the hole in the rock stratum in which the bolt is placed to secure the bolt in position.

Advancement and retraction is preferably effected by a hydraulic piston 32 located in chamber 35. Offset drive spindle 23 further includes a sealing arrangement comprising a seal 33 which prevents unwanted escape of water from chuck 24 during drilling but allows communication between an internal passage 34 in bolt 30 and fluid passages 25 when injection nozzle 31 is retracted away from chuck 24 and drilling is in progress.

In operation, the first stage of the drilling operation involves insertion of the self drilling rock bolt 30 into rock using a conventional drilling rig such as that described in figure 2.

During drilling, to ensure removal of debris from the the drill hole, fluid such as water is pumped through passages 25 which empty the fluid into internal passage 34 of bolt 30 eventually exiting bolt 30 and entering a space between bolt 30 and the drilled bolt hole (not shown). The fluid passes over the leading end of injection nozzle 31. As the fluid exits the bolt hole it takes with it rock cuttings produced during drilling. During the drilling phase of operation, nozzle 31 is retracted away from opening 23a of drive spindle 23 and is inactive. Furthermore, the nozzle is independant of rotation of the spindle 23 such that it is free from rotation during the drilling operation precluding the need for special sealing requirements to prevent escape of resin during

drilling.

Once the hole is fully drilled, the rock bolting can be completed without first having to retract the head away from the rock face as occurred in the prior art to facilitate insertion of the bolt.

Referring to figure 4 there is shown a cross section of the head 20 of figure 3 with corresponding part numbering. Figure 4 shows the drilling cycle in which the self drilling bolt 30 is rotated by its engagement to drive chuck 24 and the nozzle 31 retracted away from chuck 24 but allowing communication between passage 25 and internal passage 34 of bolt 30 thereby allowing free passage of fluid into passage 34 as previously described.

Figure 5 shows head 20 this time with fluid supply from passage 25 turned off and nozzle 31 advanced into engagement with chuck 24 thereby allowing injection of grouting compound 29 into passage 34 of bolt 30. The leading end 31a of nozzle 31 seals passages 27 and 28 (see fig 4) from leakage such that their contents will discharge into passage 34 of bolt 30 forming grouting compound 29. Preferably, the grout is formed from a blend of resin mastic and resin catalyst which mix inside passage 34 of bolt 30 and which are excluded from contact while in respective passages 27 and 28 of injection nozzle 31. The resin compound is fast curing allowing quicker completion of bolt anchorage. The resin compound is injected into passage 34 of bolt 30 by piston 32 until it eventually exits openings 38 in bolt 30 and fills a space between exterior of bolt surface 39 and wall surface 40 of bolt hole wall 41.

Figure 6 shows the final stage of the drilling operation with injection nozzle 31 fully retracted and flushing fluid turned off. At this stage, the spindle is rotated in the opposite direction to that for drilling whereupon engagement between a nut 42 (see figure 7) on bolt 30 is tightened.

Figure 7 shows an enlarged cross sectional view of bolt 30 inserted in a rock stratum 37 secured by grouting compound 29 between external surface 39 of bolt 30 and wall 41. An advantage of

the invention described with reference to the above embodiment is the provision of separate grout injection and fluid flushing systems in the drill head. The injection nozzle 31 functions as a shut off valve such that in the fully retracted position, its internal passages are isolated from grout constituent passages 27 and 28.

The arrangement described above has numerous advantages over the prior art arrangements including: a minimum of moving parts, offset hollow drive spindle system, non rotating and self cleaning injection nozzle independent of the rotation of the spindle, use of the drilling fluid to clean the nozzle. the ability of the nozzle to act as a shut off valve for grout injection.

It will be recognised by persons skilled in the art that numerous variations and modification may be made to the invention as broadly described herein , such as but not limited to;

- a) use of alternative grouting compounds such as polyester resins, PVA, epoxy resins and any single or multi component compound;
 - b) use of self drilled cable bolts, rods or tubes and indeed any form of rock bolt capable of use with the method and head described above;
 - c) use of injection needles or syringes and any fitting adapted to seal against any rock bolt capable of use with the assembly described;
 - d) automation of the system using a Programmable Logic Computer to govern and regulate drilling cycles and more particularly injection cycles;
- without departing from the overall spirit and scope of the invention.

THE INVENTION IS DESCRIBED IN THE FOLLOWING CLAIMS:

- 1 A drill head capable of attachment to a drilling machine for insertion of rock bolts into rock strata; the drill head comprising;
a base block including means to facilitate attachment to said drilling machine;
means for driving a spindle having a member at one end which receives a proximal end of a rock bolt including an internal cavity;
an injection assembly including at least one internal passage for retaining a grouting compound, wherein, when said rock bolt is inserted in said member and when said injection member engages said member, said at least one passage is in communication with said internal cavity in said rock bolt; whereupon said injection assembly operates to inject a predetermined quantity of said grouting compound into a bolt hole in said rock strata via said internal cavity of said bolt.
- 2 A drill head according to claim 1 wherein said spindle is rotatable and said member which engages said proximal end of said rock bolt comprises a chuck.
- 3 A drill head according to claim 2 wherein, upon rotation of said spindle said rock bolt also rotates.
- 4 A drill head according to claim 3 further comprising passages through which a flushing fluid flows and which are in fluid communication with said cavity in said rock bolt.
- 5 A drill head according to claim 4 wherein the the injection assembly does not rotate but is capable of axial extension and retraction independant of said spindle.
- 6 A drill head according to claim 5 wherein, said injection nozzle functions as a shut off valve to isolate said flushing fluid from said cavity.
- 7 A drill head according to claim 6 wherein the injection assembly includes two concentric passages each of which contains a separate grouting material, wherein said passages are

disposed so as to keep the grouting materials separate until said materials exit said passages and enter the internal cavity in said rock bolt whereupon they mix to form a grouting resin.

8 A drill head according to claim 7, wherein, the grouting resin exits the internal cavity of the bolt via a distal end of said bolt and fills a space between an outer surface of the bolt and a wall of a hole in which the bolt is placed.

9 A drill head according to claim 8 wherein the member for receiving said proximal end of said rock bolt includes a recess which includes a profile which conforms to and engages with a corresponding profile on said proximal end of said bolt to enable mutual rotation of said member with said rock bolt.

10 A drill head according to claim 9 wherein the profile of said recess is hexagonal and receives a corresponding hexagonal external profile formed in said proximal end of said rock bolt.

11 A drill head according to claim 10 wherein said said rock bolt is self drilling.

12 A drill head according to claim 11 wherein said injection assembly is capable of movement between a retracted state in which water is capable of entering said cavity of said rock bolt during drilling and an extended state when drilling has ceased in which an end of said injection assembly engages an opening to said cavity thereby allowing the passage of a grouting material held in said injection assembly into said bolt hole via said cavity in said bolt.

13 A drill head according to claim 12 wherein the grouting materials include polyester resins, PVA and epoxy resins.

14 A drill head capable of attachment to a drilling machine for insertion of rock bolts into rock strata; the drill head comprising; a base block including means to facilitate said attachment to said drilling machine;

drive means for driving a spindle having a member which engages a proximal end of a

rock bolt including an internal cavity;
an injection assembly including at least one internal passage for retaining a grouting material,
wherein, when said rock bolt is inserted in said member, said at least one passage is in
communication with the internal cavity in said rock bolt; whereupon said injection assembly
operates to inject a predetermined quantity of said grouting compound into said internal cavity of
said bolt; wherein the drill head further comprises means enabling injection through passages
therein of water into a bolt hole to evacuate rock debris from the hole during drilling.

15 A drill head according to claim 14 wherein the injection assembly has two concentric
passages each of which contains a separate grouting compound, wherein said passages are
arranged so as to keep the grouting compounds separate until the compounds exit said passages
and enter the internal cavity in said rock bolt whereupon they mix to form a grouting resin prior
to exiting said bolt.

16 A drill head according to claim 15 wherein the grout exits the internal cavity of the bolt
via openings in a distal end and is injected until it fills a space between an outer surface of the
bolt and the wall of the hole in which the bolt is placed.

17 A drill head capable of attachment to a drilling machine for insertion of a self drilling rock
bolt into rock strata; the drill head including;
a sprocket for receiving an end of said rock bolt which includes an internal cavity,
an injection assembly including a passage for retaining a grouting compound and which when
said bolt engages said sprocket is placed in communication with said internal cavity of said bolt;
wherein the injection assembly injects said grouting compound into the internal cavity of said
bolt at least until said compound exits said internal cavity of said bolt and engages a wall of a hole
in which said bolt is inserted.

18 A drill head according to claim 17 wherein, the grouting compound is injected until it fills the space between the exterior of the bolt and the wall of the hole in which the bolt is inserted.

19 A drill head capable of attachment to a drilling machine for insertion of a self drilling rock bolt into rock strata; wherein the head includes an injection assembly adapted to enable injection of a grouting compound from a passage in the drill head and into a bolt hole via an internal cavity in said rock bolt which communicates with said passage in said drill head when an end of said bolt engages said drill head such that said passage and said internal cavity are substantially in alignment.

20 A self drilling rock bolt for insertion in a rock strata and adapted to engage a drilling head capable of attachment to a drilling machine; wherein the rock bolt comprises; a proximal end and a distal end and an internal cavity therebetween: the proximal end having a mating profile which engages a corresponding profiled member on said drilling machine enabling mutual rotation of said bolt and said member during insertion of said bolt, the bolt further including at or near said distal end at least one opening in communication with said cavity for discharging a grouting material delivered to said bolt from said head.

21 A self drilling rock bolt according to claim 20 wherein, when said bolt engages said head, said cavity in said bolt is in general alignment with a cavity in said head containing said grouting material.

22. A self drilling rock bolt according to claim 21 wherein an opening in said proximal end of said bolt receives at least one grouting material which advances along said cavity until it exits said bolt via said at least one opening in said distal end.

23 A self drilling rock bolt according to claim 22 wherein, two grouting materials discharged from said head mix in said cavity in said bolt prior to exiting via said at least one opening in said

distal end.

24 A method of insertion of a self drilling rock bolt in a rock strata using a drilling machine to which is attached a drill head; wherein the method comprises the steps of ;

- a) placing said drilling machine in alignment with a location at which a rock bolt is to be inserted;
- b) taking a rock bolt having an internal cavity;
- c) engaging a proximal end of the rock bolt with a support member on said drill head;
- d) advancing the drill head such that a distal end of the bolt engages rock into which the bolt is to be inserted;
- e) rotating the bolt under the action of drive means associated with said head and maintaining an axial force such that the bolt self drills a hole in said rock strata;
- f) during drilling, flushing the hole via the internal cavity of the bolt with a fluid such as water to remove unwanted rock cuttings;
- g) injecting a grouting compound from at least one passage in said head and into the cavity in said bolt;
- h) continuing said injection of said compound at least until said compound exits the cavity in said bolt and enters an opening in the bolt hole between the wall of said hole and the exterior of said bolt.

25 A method according to claim 24 comprising the further step; prior to injecting the compound into the cavity in said bolt, of advancing an injection nozzle into sealing engagement with a proximal end of said bolt thereby allowing direct communication between the passage in said head and the cavity in said bolt

26 A method according to claim 25 wherein said passage is said passage is in an injection assembly which delivers said grouting material.

27 .A method of insertion of a self drilling rock bolt in a rock strata using a drill head capable of attachment to a drilling machine for insertion of a rock bolt into rock strata; wherein the head includes an injection assembly adapted to enable injection of a grouting compound from a passage in the drill head and into a bolt hole via an internal cavity in a rock bolt which communicates with said passage in said drill head when an end of said bolt engages said drill head such that said passage and said internal cavity are substantially in alignment; wherein the method comprises the steps of ;

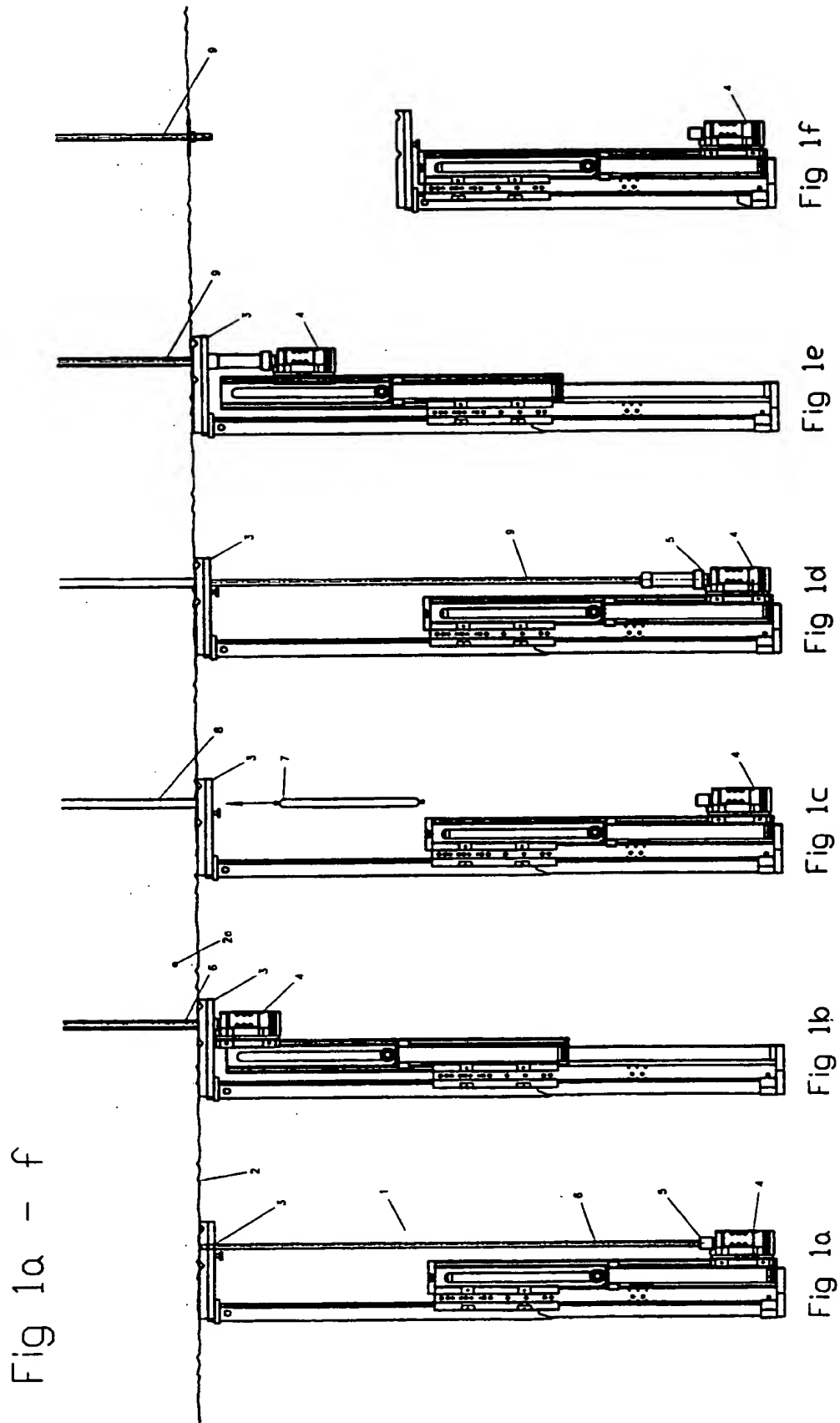
- a) placing said drilling machine in alignment with a location at which a rock bolt is to be inserted;
- b) taking a rock bolt having an internal cavity;
- c) engaging an end of the rock bolt with a member on said drill head;
- d) advancing the drill head such that a distal end of the bolt engages rock into which the bolt is to be inserted;
- e) rotating the bolt under the action of drive means associated with said head and maintaining an axial force such that the bolt self drills a hole in said rock strata;
- f) during drilling, flushing the hole with a fluid such as water to remove unwanted rock cuttings;
- g) injecting a grouting compound from at least one passage in said head and into the cavity in said bolt;
- h) continuing said injection of said compound at least until said compound exits the cavity in said bolt and enters an opening in the bolt hole between the wall of said hole and the

exterior of said bolt.

28 A method according to claim 27 wherein the injection assembly shuts off flushing fluid flow during injection of said grouting material into said cavity in said bolt.

29 A method of grouting a rock bolt inserted in a rock strata using a drilling machine incorporating a drilling head; wherein the method of grouting comprises the steps of;

- a) after a rock bolt has been placed in situ, advancing an injecting nozzle of an injection assembly incorporated in said head until it reaches sealing engagement with a proximal end of the rock bolt;
- b) injecting a grouting compound from a passage in said injection assembly into an internal cavity in said bolt;
- c) continuing said injection until the grouting compound exits said internal cavity of said bolt and fully occupies a space formed between the exterior of said bolt and the wall of said hole;
- d) retracting said nozzle away from sealing engagement with said bolt.



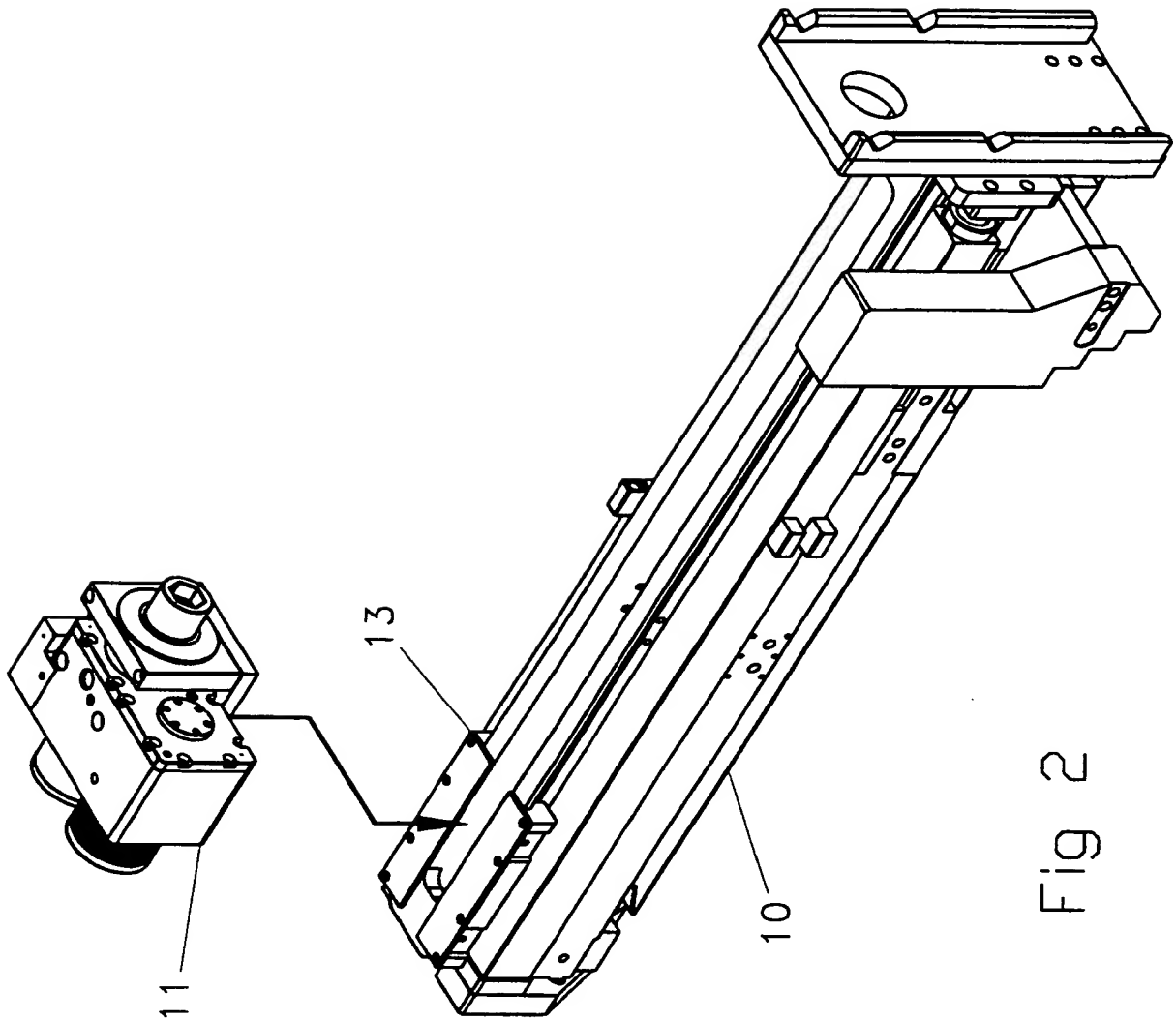


Fig 2

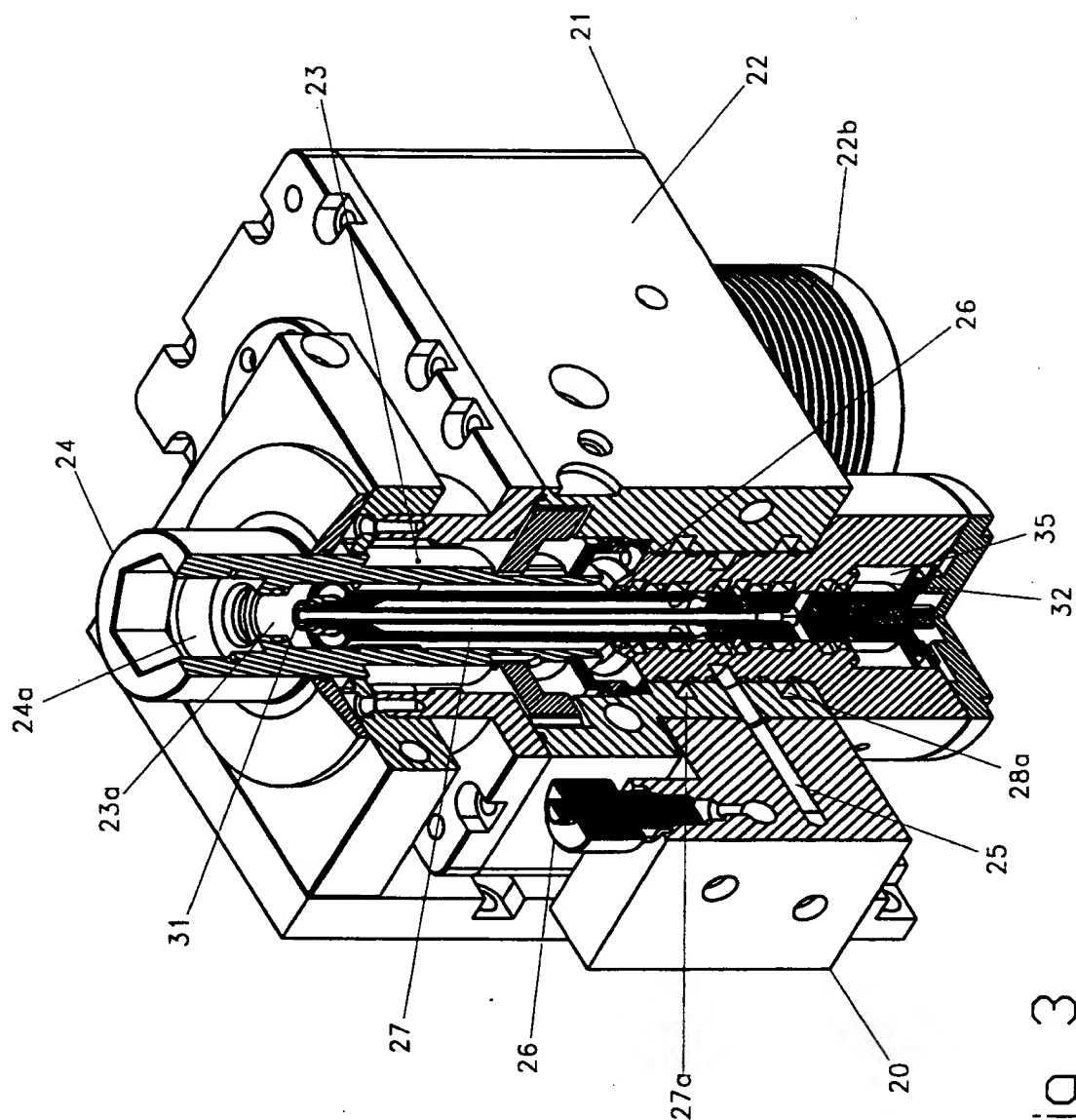


Fig 3

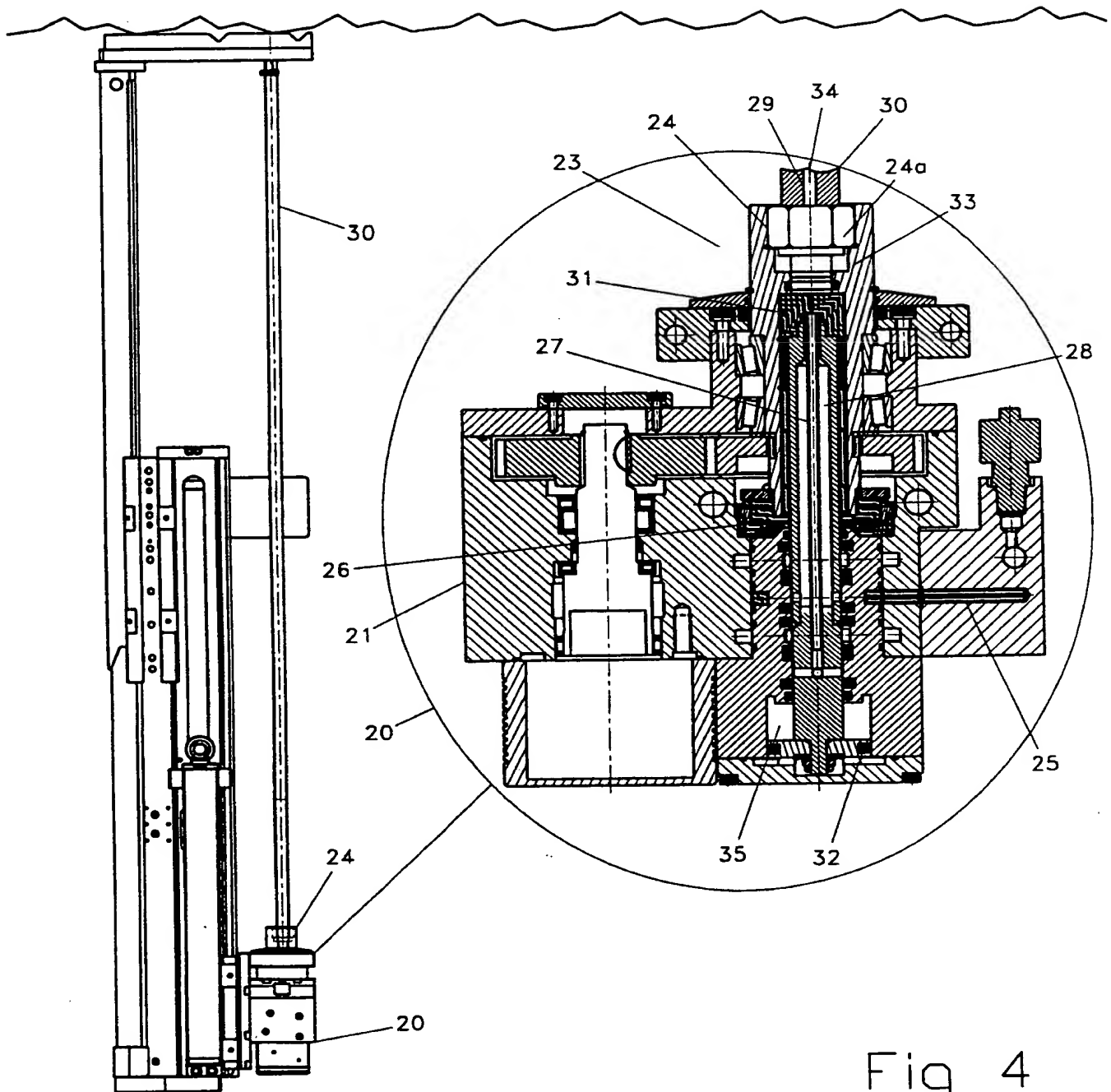


Fig 4

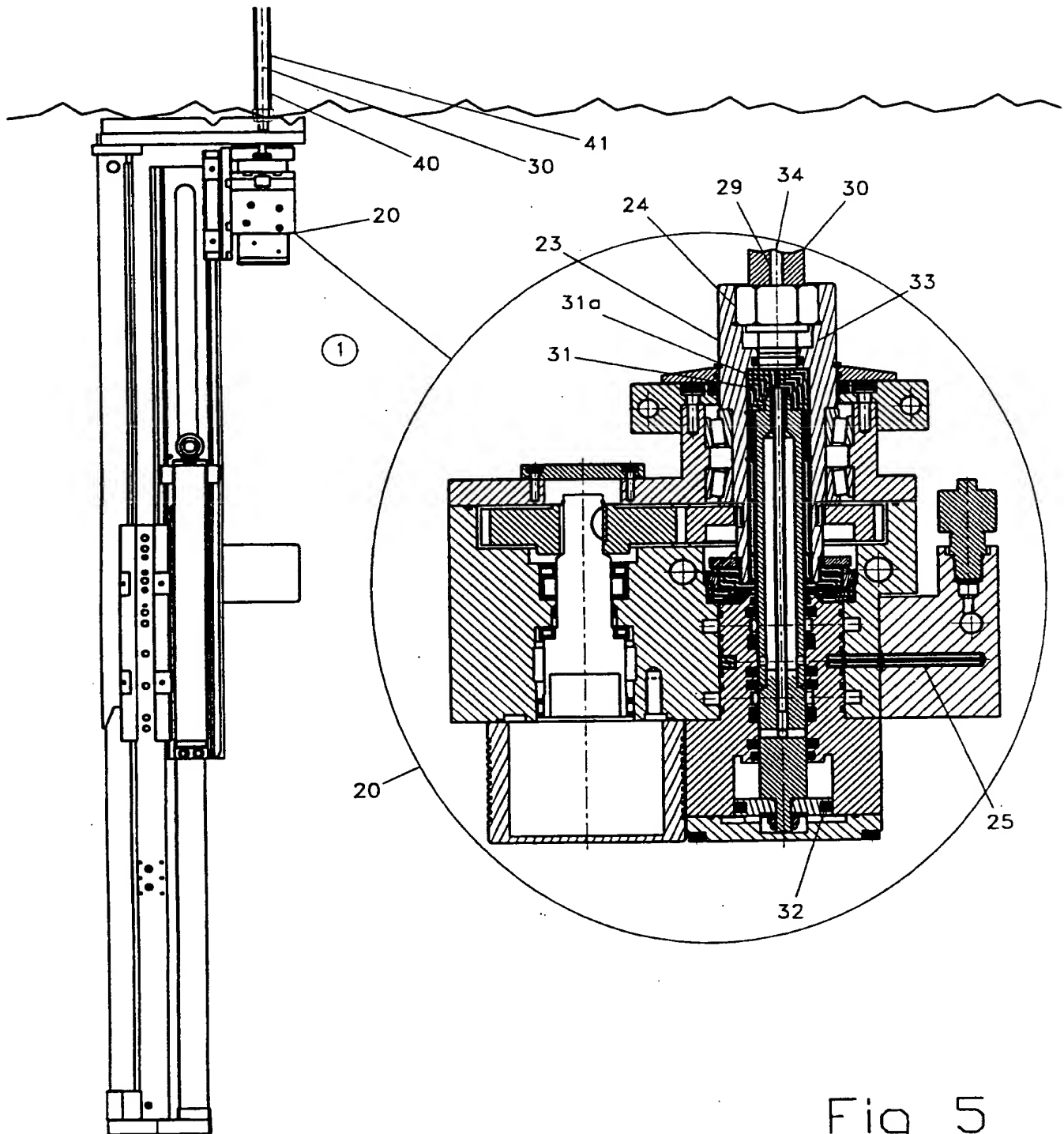
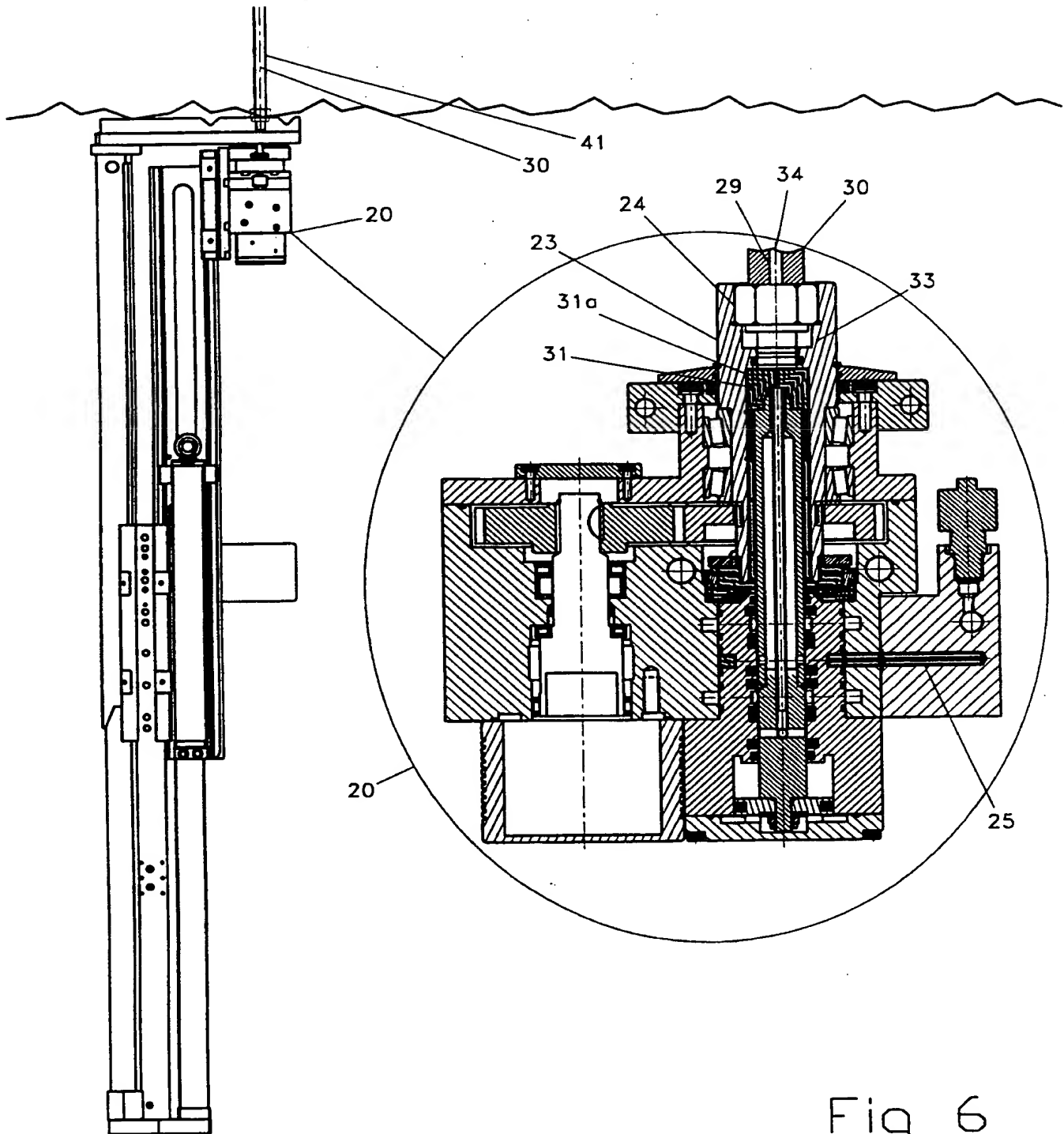
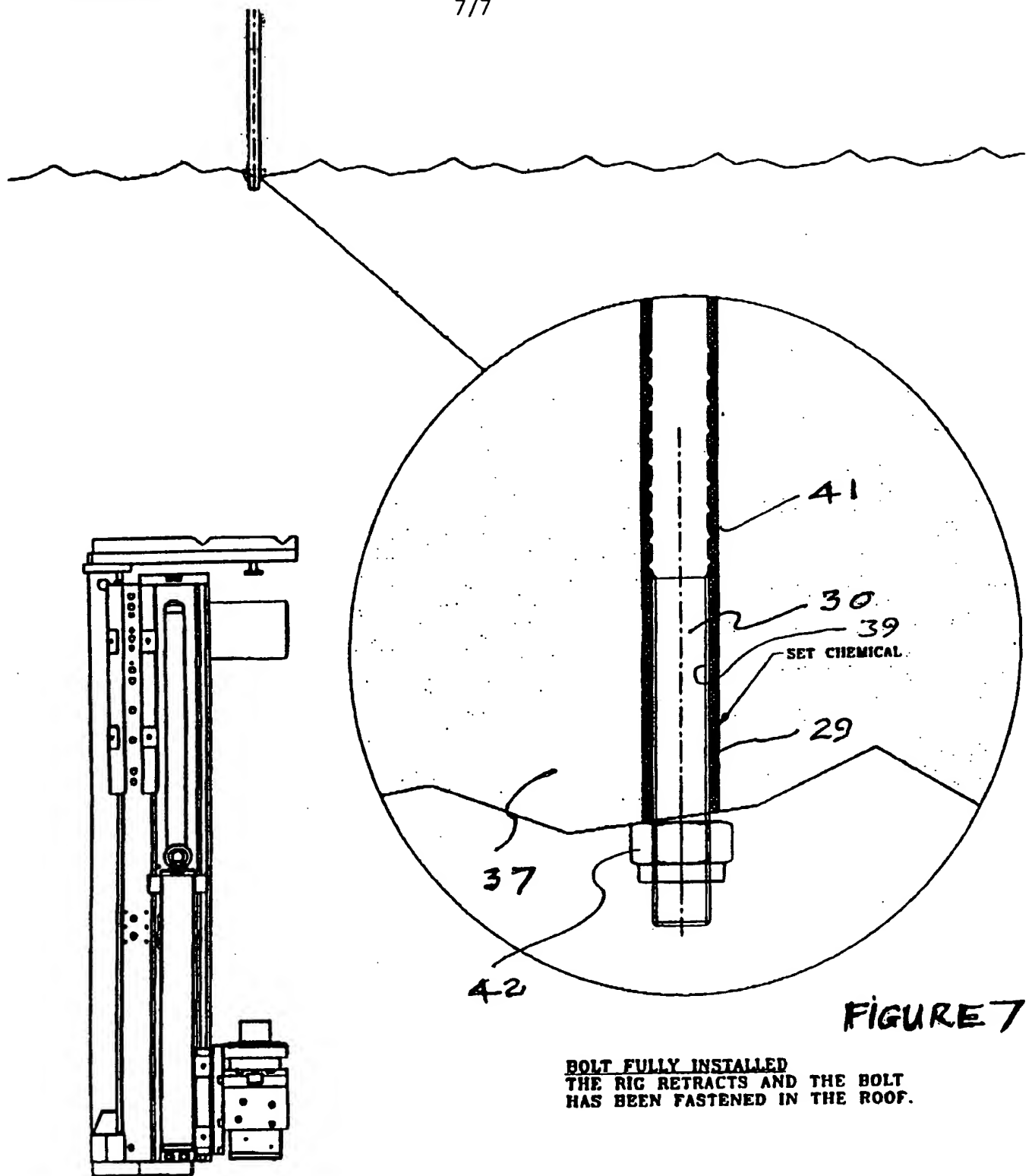


Fig 5



**FIGURE 7**

BOLT FULLY INSTALLED
THE RIG RETRACTS AND THE BOLT
HAS BEEN FASTENED IN THE ROOF.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/AU00/00285

A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl. ⁷: E21D 20/02, 21/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC (7) : E21D 20/02, 21/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
AU : IPC AS ABOVE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4055051 A (FINNEY) 25 October 1977	1,14,17,20,24,27,29
X	US 5044832 A (GRUBER) 3 September 1991	1,14,17,20,24,27,29
X	WO 92/01141 A1 (The ILLAWARRA Technology Corp) 23 January 1992	1,14,17,20,24,27,29
X	GB 2206172 A (COAL Industry (Patents) Ltd) 29 December 1988	1,14,17,20,24,27,29
X	EP 247778 A1 (BAKER-HUGHES Inc) 2 December 1987	1,14,17,20,24,27,29
X	US 4946314 A (GRUBER) 7 August 1990	1,14,17,20,24,27,29

☒ Further documents are listed in the continuation of Box C ☒ See patent family annex

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search
25 May 2000

Date of mailing of the international search report

13 JUN 2000

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INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU00/00285

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y	DE 2436159 A (ENVIROTECH Corp) 6 February 1975	29 1,14,17
X	DE 29814460 U1 (DYCKERHOFF & WIDMANN AG) 14 January 1999	29
X	DE 3905128 A (WILLICH F & Co. GmbH) 11 January 1990	29
X	EP 532441 A1 (TECHNIPORT SA) 17 March 1993	29
X	SU 1221375 A (AS UKRAINE GEOL. MECH.) 30 March 1986. Derwent English language abstract, accession no. 86-317503/48, class Q49.	29
X	DE 3529850 A (FIP INDUSTRIALE SPA) 13 March 1986	29

INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/AU00/00285

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report			Patent Family Member				
US	4055051	NONE					
US	5044832	AU	45642/89	CA	2003979	DE	3840158
		EP	371463	JP	2210115	ZA	8909048
WO	9201141	AU	80925/91	US	5374140		
GB	2206172	NONE					
EP	247778	GB	2192414	NO	872063	US	4744699
US	4946314	AU	80294/87	CA	1295155	EP	259755
		WO	8802058	ZA	8706725	DE	3724165
DE	2436159	AU	71358/74	FR	2332417	ZA	7404550
DE	29814460	EP	979899				
DE	3905128	ZA	8907611				
EP	532441	NONE					
SU	1221375	NONE					
DE	3529850	FR	2569765				
END OF ANNEX							

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU00/00285

A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl. : E21D 20/02, 21/00

According to International Patent Classification (IPC) or to both national classification and IPC

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Minimum documentation searched (classification system followed by classification symbols)

IPC (7) : E21D 20/02, 21/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
AU : IPC AS ABOVE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

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"&" document member of the same patent family

Date of the actual completion of the international search
25 May 2000

Date of mailing of the international search report

13 JUN 2000

Name and mailing address of the ISA/AU

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International application No.
PCT/AU00/00285

Form PCT/ISA/210 (continuation of Box C) (July 1998)

INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/AU00/00285

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GB	2206172	NONE					
EP	247778	GB	2192414	NO	872063	US	4744699
US	4946314	AU	80294/87	CA	1295155	EP	259755
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DE	2436159	AU	71358/74	FR	2332417	ZA	7404550
DE	29814460	EP	979899				
DE	3905128	ZA	8907611				
EP	532441	NONE					
SU	1221375	NONE					
DE	3529850	FR	2569765				
END OF ANNEX							

PCT/AU00/00285

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PATENT COOPERATION TREATY

PCT

**INFORMATION CONCERNING ELECTED
OFFICES NOTIFIED OF THEIR ELECTION**

(PCT Rule 61.3)

From the INTERNATIONAL BUREAU

To:

WALSH & ASSOCIATES
 Patent and Trade Mark Attorneys
 P.O. Box 4306
 Penrith Plaza 2750
 Sydney, NSW
 AUSTRALIE

Date of mailing (day/month/year) 13 November 2000 (13.11.00)		
Applicant's or agent's file reference P54C/PCT/JRW/MA		
IMPORTANT INFORMATION		
International application No. PCT/AU00/00285	International filing date (day/month/year) 31 March 2000 (31.03.00)	Priority date (day/month/year) 31 March 1999 (31.03.99)
Applicant HYDRAMATIC ENGINEERING PTY LIMITED et al		

1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

AP : GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW

EP : AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE

National : AG, AU, BG, CA, CN, CZ, DE, DZ, IL, JP, KP, KR, MN, NO, NZ, PL, RO, RU, SE, SK, US

2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

EA : AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

OA : BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG

National : AE, AL, AM, AT, AZ, BA, BB, BR, BY, CH, CR, CU, DK, DM, EE, ES, FI, GB, GD, GE, GH,
 GM, HR, HU, ID, IN, IS, KE, KG, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MW, MX, PT, SD,
 SG, SI, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW

3. The applicant is reminded that he must enter the "national phase" before the expiration of 30 months from the priority date before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed until 31 months from the priority date for all States designated for the purposes of obtaining a European patent.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No. (41-22) 740.14.35	Authorized officer: Charlotte ENGER Telephone No. (41-22) 338.83.38
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Docket: 0139US-HYDRAMATIC

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Entry into national stage from a PCT application

23 pages of written specifications, 7 sheets of drawings, 3 page international search report and 2 pages of publication cover sheet, all comprising the PCT application as published.

Form PTO-1390 - transmittal letter for a filing under 35 USC 371

Credit Card Charge Authorization, form PTO-2038, for \$776.00

Copy of form PCT/IB332 notification of election.

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WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(54) Title: METHOD AND APPARATUS FOR INSERTION OF ROCK BOLTS

(57) Abstract

A drill head capable of attachment to a drilling machine for insertion of rock bolts into rock strata; the drill head comprising: a base block including means to facilitate attachment to the drilling machine; means for driving a spindle having a member at one end which receives a proximal end of a rock bolt including an internal cavity; an injection assembly including at least one internal passage for retaining a grouting compound, wherein, when the rock bolt is inserted in the member and when the injection member engages said member, the at least one passage is in communication with said internal cavity in said rock bolt; whereupon the injection assembly operates to inject a predetermined quantity of the grouting compound into a bolt hole in the rock strata via said internal cavity of the bolt.

